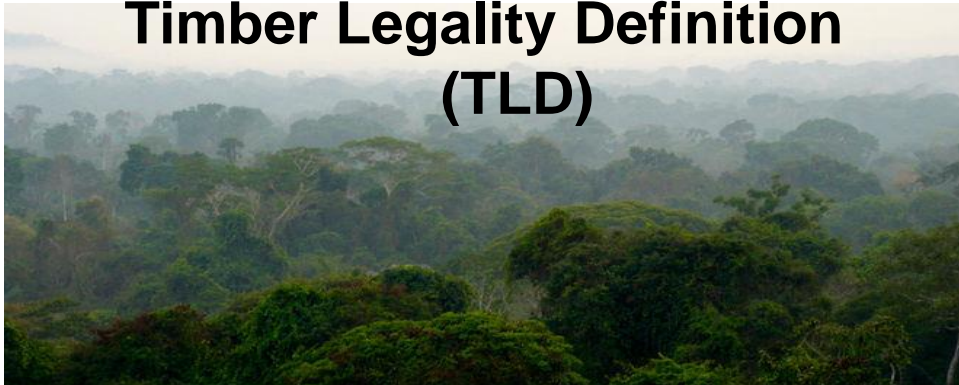
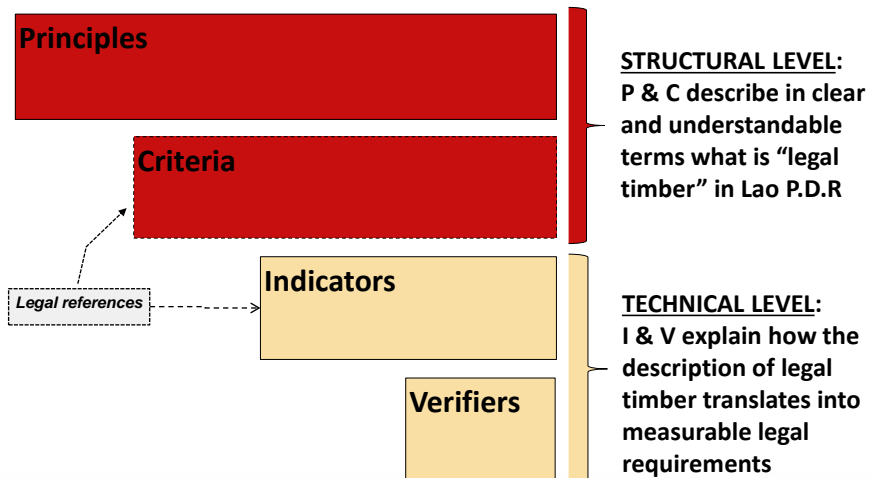




Introduction to Principles & Criteria for Timber Legality Definition (TLD)



Legality Definition structure





1. Structural level

2. Technical level



Definitions

Principles are high level categories of legality requirements which all together describe on a general level what is 'legal timber'

Example:

FOREST MANAGEMENT OPERATIONS: Forest management operations and harvesting complies with all applicable laws

Criteria are sub-categories of legality requirements

(sometimes this level is merged with the principles level and does not apply).

Examples:

- Pre-felling assessments have been conducted according to the law
- Harvesting operations are controlled as stipulated by the law
- Work safety and health regulations are complied with



Organizing the LD on principle level “One-size-fits-it-all” Legality Definition

a) Principles organized by thematic area

Legality definition	
P1: Legal Existence	P5: Labor
P2: Forest Use Rights	P6: Tax
P3: Environmental	Etc...
P4: Social	

b) Principles organized by Supply Chain steps

Legality definition	
P1: Area allocation	P5: Transport
P2: Rights to harvest	P6: Processing
P3: Forest Management	P7: Export
P4: Imported timber	Etc...



1. Structural level

2. Technical level



Definitions – Indicators

Indicators are specific and measurable descriptions of legal requirements

- **Critical to the licensing decision or addressing stakeholder concerns**
- **Unambiguous, compliance decision based on clear legal reference(s)**
- **Verification should be practicable**

Examples:

- Indicator 1: The entity has negotiated a social agreement with authorized representatives of affected communities within 3km of the concession area.
- Indicator 2: The entity does not use under-aged labour.

Verifiers describe verification outputs demonstrating legal compliance

Examples :

- Social agreement signed by x and Y; Map of affected communities
- Inspection report by Division of Labor



Example of TLD structure (Principle, criteria, indicator and verifier)

Principle: topic of the principle	
Criteria: Compliance with laws and regulations on the principle	
Indicator: legal activity/process to support the legality of the criteria	Verifier: Evidence/proof of compliance
Objective: what is the objective of the indicator	
Regulatory control: State what is required by the law/regulation	Legal reference: <ul style="list-style-type: none"> • Article of law, decree, decision, notification.....